

Electronic Acknowledgement Receipt

EFS ID:	5431063
Application Number:	10628631
International Application Number:	
Confirmation Number:	4899
Title of Invention:	System and method for improved electronic trading
First Named Inventor/Applicant Name:	Fred Monroe
Customer Number:	39310
Filer:	Thomas J. Loos
Filer Authorized By:	
Attorney Docket Number:	03-748
Receipt Date:	01-JUN-2009
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Application Type:	Utility under 35 USC 111(a)

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Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	3686
Deposit Account	132490
Authorized User	

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part /.zip	Pages (if appl.)
1		03-748_NFOAR_031209_AS_FILED.pdf	145267 b3953efd3823e9e5e099f28d647d288a519 b9f34	yes	12
Multipart Description/PDF files in .zip description					
Document Description		Start		End	
Amendment/Req. Reconsideration-After Non-Final Reject		1		1	
Claims		2		5	
Applicant Arguments/Remarks Made in an Amendment		6		12	

Warnings:

Information:

2	Information Disclosure Statement (IDS) Filed (SB/08)	03-748_IDS_060109.pdf	820020 51e9f79f6b1b8aa215d33733a80421b64c 9a436	no	5
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Warnings:

Information:

3	NPL Documents	03-748-Z_Financial_News_Article_051 303_NPL.pdf	146203 eb024691fd0dd3b191cae1a3b339ccc239f ba432	no	3
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Warnings:

Information:

4	NPL Documents	03-748-Z_Sivy_article.pdf	451071 66c4e4ec6b50fc2cd7b624301141ba90f138 e650	no	8
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Warnings:

Information:

5	NPL Documents	03-748-Z_Zarb_speech_062399_NPL.pdf	1111367 b0ee0eb030975354040eb1d0ed0b770b99 8d4d97	no	13
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Warnings:

Information:

6	Fee Worksheet (PTO-875)	fee-info.pdf	29534 bc691e87f5b7d93bf9d62d7a6df3d35109c0 b92a7	no	2
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.